

# PERFORMANCE MANAGEMENT SYSTEM 2022 – 2023

# FACULTY EVALUATION CRITERIA AND PROCESS

### I. OVERVIEW:

Performance management system is the process of creating a work environment in which people are enabled to perform to the best of their abilities. It enables the Organization to enhance & sustain its performance by aligning employees' goals & contribution to the Organization's Vision and Objectives.

Performance Management helps to strengthen the communication between employees and HODs and provides employees with a clear understanding of what is expected of them and how their performance contributions are being assessed and recognized.

### II. OBJECTIVES:

- > To give due weightage for the contribution in teaching, research and administration.
- To capture the contributions objectively in each category: Academic, Research, Administration & Competency.
- > Assess and promote excellence in the teaching-learning process.
- To have a healthy competition among faculty in all four major areas of appraisal: Academics, Research Administration & Competency.
- > To Provide a basis for professional growth and development of faculty members.
- > To improve the NIRF and NAAC ranking of the University.
- > To promote team work by engaging all faculty in Institution development programs.
- > To align the Individual objectives of faculty with Department\University goals.
- > To give more clarity to individuals in executing their responsibilities.
- > To bring flexibility in the evaluation system.
- To enhance the effective contribution of each faculty by allowing them to choose their major area of contribution.
- > To align the parameters of PMS with Accrediting agencies guidelines wherever possible.

### III. COVERAGE:

The policy covers all academic staff/faculty of Manipal University Jaipur.

### **IV. APPLICABILITY:**

Employees joined on or before **30<sup>th</sup> June 2022** are eligible for **PMS assessment for the Year 2022**-**2023** as assessment period specified is from **January-2022 to December-2022**. Employees who undergo assessment but have not completed 1 full year of the assessment period, they will be subjected to pro-rata assessment and also undergo subjective assessment.



- ✓ Academic
- ✓ Research and Consultancy
- ✓ Administration
- ✓ Competency
- ✓ Individual Development Plan

### VI. PERFORMANCE ASSESSMENT CRITERIA:

Performance Management System emphasizes on Results/Outcomes (KRA) specified with above criteria. The overall Performance Assessment is a combined evaluation (As applicable Weightage), this is specified for each position with the additional responsibility of the faculty (if applicable):

### FACULTY WITHOUT ADDITIONAL ADMINISTRATIVE RESPONSIBILITIES:

Designation	Acad	demics	Research and Consultancy		Administration (Nucleus Member)		Competency#	
Designation	Max.	Weight	Max.	Weight	Max.	Weight	Max.	Weight
	Points	Range	Points	Range	Points	Range	Points	Range
Assistant Professor	100	0.4 – 0.6	100	0.2 – 0.4	100	0 – 0.2	100	0.2
Assistant Professor (Senior Scale)	100	0.4 - 0.6	100	0.2 - 0.4	100	0-0.2	100	0.2
Assistant Professor								
(Selection Grade)	100	0.3 – 0.6	100	0.3 – 0.5	100	0 – 0.2	100	0.2
Associate Professor	100	0.2 – 0.6	100	0.3 – 0.5	100	0 – 0.2	100	0.3
Associate Professor (Senior Scale)	100	0.1 – 0.4	100	0.3 – 0.6	100	0 – 0.3	100	0.3
Professor	100	0.1 – 0.4	100	0.3 – 0.6	100	0 – 0.3	100	0.3

### FACULTY WITH ADDITIONAL ADMINISTRATIVE RESPONSIBILITIES:

Academic Administrative	Acad	lemics	Research and Consultancy		Administration		Competency#	
Groups	Max. Points	Weight Range	Max. Points	Weight Range	Max. Points	Weight Range	Max Points	Weight Range
Group – 1*	100	0.1 – 0.3	100	0.1 – 0.3	100	0.3 – 0.5	100	0.3
Group – 2*	100	0.1 – 0.3	100	0.1 – 0.3	100	0.2 – 0.4	100	0.4
Group – 3*	100	0.1 – 0.3	100	0.1 – 0.3	100	0.2 – 0.4	100	0.4
Group – 4*	100	0.2 - 0.4	100	0.1 – 0.3	100	0.2 – 0.3	100	0.3
Group – 5*	100	0.2 - 0.4	100	0.1 – 0.3	100	0.2 – 0.4	100	0.2

(# The weightage defined under competencies is a Fixed Weightage as per levels/designations. The balance weightage can be picked up as per individual's requirement.)

**\*Group – 1:** Heads of the Departments (HoDs)

**\*Group – 2 :** Directors of the Schools

\*Group – 3: Directors of the Directorates, Heads of the sections (Director Admissions, CoE, E-Cell, etc.)

\*Group – 4: Deputy Registrar, Deputy CoE, Deputy Directors of all Directorates

\*Group – 5 : Assistant Registrar, Advisor Central Library, Web Master and Assistant Directors of all Directorates



### VII. GENERAL GUIDELINES:

- 1. Faculty evaluation for PMS will be done jointly by HoD and Director.
- 2. Faculty who fall under Group-4 category, their competencies shall be jointly assessed by the respective HODs and the Directors of the respective Directorate they are attached.
- 3. For Groups 1,2,3, & 4, a separate committee constituted by the president will evaluate.
- 4. All 4 components of PMS (Academics, Research, Administration and Competency) have a maximum rating of 100 points. If in any component, the points scored are more than 100, it has to be limited to 100.
- 5. All evaluation forms are to be submitted to the respective Dean.
- 6. Individual development objectives (IDP) has to be submitted by all faculty along with weightage at the beginning of Academic year. Once the weightage is submitted, it cannot be changed for that academic year. Individual development objectives set by the faculty should be in alignment with Department objectives. HoDs and Directors shall guide the faculty in setting the objectives as per the need of the department.
- 7. A copy of the IDP and weight range of all faculty should be sent to HR and HR needs to check the completeness of these forms and inform HoD for any mistake.
- 8. Faculty who are on Maternity leave or the faculty on approved leave and have worked for 3 month and more during the period under assessment, the faculty shall undergo assessment on all the set criteria and scores will be arrived on pro-rata basis and assessment of PI rating will be solely subjective based on various parameters and scores.
- 9. PMS grades for the new faculty members joining in the middle of the academic year shall be decided by the selection committee at the time of interview.
- 10. Faculty members in the cadre of Assistant Professors/ Assistant Professors- Senior scale with > 3 years of service in MUJ are expected to register for PhD, failing which their PMS Grading will be limited at 'A+'. Faculty in the above cadre who fail to register for PhD in spite of 2 more such chances, will be limited at 'A' in their PMS Grading.
- 11. Research progress of faculty members registered for PhD outside MUJ will be assessed based on progress report submitted through their guides failing which their PMS grading will be limited at A+.
- 12. Mid-Term Review of PMS may be done in the month of December\January to assess the progress and to give valuable suggestions to faculty.
- 13. The PMS grading shall be done department wise. However, if the number of faculty in a particular group is less than 10, the final grading and the mode of bringing parity will be worked out by Dean's of the respective Faculty along with Head-HR and forwarded for necessary approval by the committee constituted by President.



### VIII. FORM A:

This form has 2 sections:

### (i) ACADEMICS DETAILS (ii) ACADEMIC ADMINISTRATION DETAILS

### For both the sections combined max score is 100.

### **Guidelines for Academic Evaluation:**

- 1. Remarks column should contain the reason for allotted points filled by the HoD and Director.
- 2. Academic engagement evaluation is based on the number of courses allotted and the number of classes handled by the faculty. The data should be captured from DMS. Faculty are expected to take minimum two courses in a semester. If any faculty has taken less than 90% of the maximum number of classes, faculty will not get any point in this criteria.
- 3. The Academic Load taken by faculty is to be given due cognizance while assessment under Academic Evaluation.
- 4. HR shall also consider academic load while considering the relative grading.
- 5. For student feedback: 5 points

4 or above full points Between 3.5 and 3.9 – 3 points Between 3 and 3.4 – 2 points

Between 2.5 and 2.9 – 1 point

Below 2 – No points

If the number of subjects handled is more than one, average feedback may be taken.

### 6. Student Performance: 5 points

Pass percentage: 60% weightage

Average GPA: 40% weightage

If pass % is more than 90% – 3 points

Between 80 and 90% – 2 points

Between 70 and 79% – 1 point

Below 70% - No point

If Average GPA is above 7.5 – 2 points

If Average GPA is between 6.6 and 7.4 – 1 point

If Average GPA is below 6.5 – No points

### 7. Attendance Detainees: 05 points

Full points to be given if the attendance detainees are less than 10%. (If the number of students are less than 20, it may go up to 15 %)

Above 10% (15%) and below 15% (20%) – 3 points

Above 15% (20%) – No points

### IX. FORM B. Research and Consultancy

### **Guidelines for Research evaluation:**

- 1. Evaluation of Research parameters are to be done by Research Directorate and the details will be sent to the concerned HoD. Faculty will have to submit their research achievements to Research Directorate well in advance.
- 2. In all Single author/Multi author paper with MUJ affiliation, collaborative work with other premier Institutions/Industry is encouraged.
- 3. Evaluation of the research paper is done only after publication. If the paper is accepted or communicated, it need not be considered for evaluation.
- 4. For publication with students, students name has to be the first name in case of PhD scholars paper. In all other cases, where students are engaged in research work leading to publication, students name has to be there as one of the authors.
- 5. Any other journal publications like EI, ISTP (Index to Scientific & Technical Proceedings), SSCI (Social Science Citation Index) may be suitably evaluated based on their merit compared to Scopus/WoS/SCI.
- 6. For PhD guide and Co-guide, progress of the candidates is the base for evaluation (In terms of number of publications).
- 7. For faculty doing PhD, number of DRC and number of publications may be used for evaluation.
- 8. Patent/Publication with MUJ affiliation will only be considered for evaluation.
- 9. Research output from faculty has to be captured from RMS only. If the faculty have not uploaded any Research achievements in RMS, that will not be considered for PMS evaluation.

### X. FORM C. Administration:

- 1. Every faculty involved in Administration are considered as a nucleus member attached to any one nucleus centre.
- 2. Each faculty may involve in any one nucleus centre activities.
- 3. Faculty cannot get points from both Department and Nucleus center for the same work.
- 4. Nucleus members evaluation will be done by a committee headed by the Registrar.

# Note: Faculty members are advised to give correct data. Any misrepresentation of data would be viewed seriously.



### XI. FORM D. Competency:

#### How to rate Competencies:

10	15	20	25	26	30	40	50	51	60	70	75	76	80	90	100
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	Begi	nner			Deve	loping			Pract	itioner			Chai	mpion	

- Rater to classify ratees based on the overall alignment of competency indicators as Beginner / Developing / Practitioner / Champion (First step)
- Each of the rating indicator has a few behaviour statements within them (**refer the competency dictionary**)
- For any indicator, a person being assessed will fall in any of the following categories:
  - Lower end display/partially display 1 or 2 from all the behaviours in a positive manner and other behaviours are not displayed.
  - Upper/ Lower Middle end display upto 50% of the behaviours and other behaviours are partly shown.
  - Higher end display /partly most of the behaviours positively, they stand at the higher end of the rating spectrum for that particular indicator.

#### Administrative Competencies as per Levels :

	Assistant Professors, Assistant Director, Assistant Registrar, Web-Master & Assistant Manager to Manager for Support Functions	Professor,AssociateProfessors,HODs,Deputy Directors,DeputyRegistrarandSeniorManagerFunctionsSupport	Deans, Directors, Registrar and Support Function Heads
Lead Self	<ul> <li>Courage</li> <li>Ownership &amp; Learning Mindset</li> </ul>	<ul> <li>Courage</li> <li>Nimble &amp; Entrepreneurial</li> <li>Nurturing and Contributing</li> </ul>	<ul> <li>Nimble &amp; Entrepreneurial</li> <li>Nurturing and Contributing</li> </ul>
Lead Team	<ul> <li>Collaborate to Win</li> <li>Drives Self &amp; Others</li> </ul>	<ul><li>Collaborate to Win</li><li>Inspire and Energize</li></ul>	<ul> <li>Develop &amp; Empower People; Create Talent Pipeline</li> <li>Inspire and Energize</li> </ul>
Lead Results	<ul> <li>Get Things Done</li> <li>Focus on Flawless Execution</li> </ul>	<ul> <li>Ensure Quality Standards at Scale</li> <li>Focus on Flawless Execution</li> </ul>	• Translates Strategy into Action
Lead Future		<ul> <li>Think Different – Pioneering &amp; Innovative</li> <li>Decide &amp; Commit to Tough Calls</li> </ul>	<ul> <li>Think Different – Pioneering &amp; Innovative</li> <li>Decide &amp; Commit to Tough Calls</li> <li>Think Strategic &amp; Long Term</li> <li>Think Big - Think Scale</li> </ul>
Lead Stakeholders			Manages Perception & Influences Brand Image



#### Academic Competencies as per Levels :

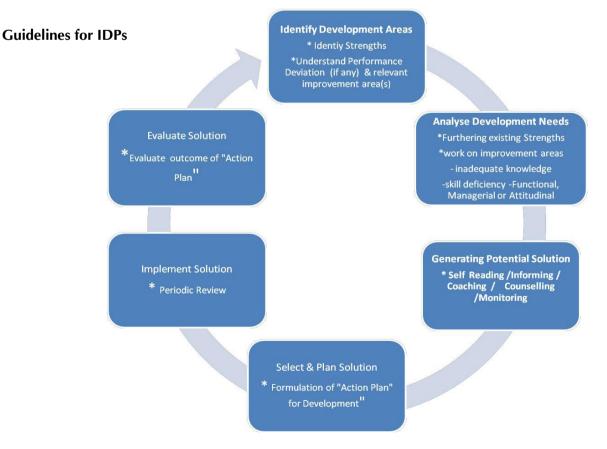
Assistant Professors, Assistant Director, Assistant Registrar, Web-Master & Assistant Manager to Manager for Support Functions	Professor, Associate Professors, HODs, Deputy Directors, Deputy Registrar and Senior Manager for Support Functions	Deans, Directors, Registrar and Support Function Heads
<ul> <li>Subject Expertise &amp; Pedagogical Strategies</li> <li>Active Research</li> </ul>	<ul><li>Subject Expertise &amp; Pedagogical Strategies</li><li>Active Research</li></ul>	Active Research
	Engaging Learning Environment	Engaging Learning Environment

### XII. Individual Development Plan:

IDPs help the employees and HOD identify developmental needs that address essential competencies, career development, and professional growth. The Individual Development Plan (IDP) determines an action plan to support.

- An improvement in the performance of an employee in current job.
- Preparation of an employee for potential responsibility.
- The strategic /long term needs of the organization.

Setting the Individual development objective is the responsibilities of both the employee and the HOD. At the beginning of the academic year, HoDs have to get the IDP form filled by the faculty and retain the form for final PMS evaluation.

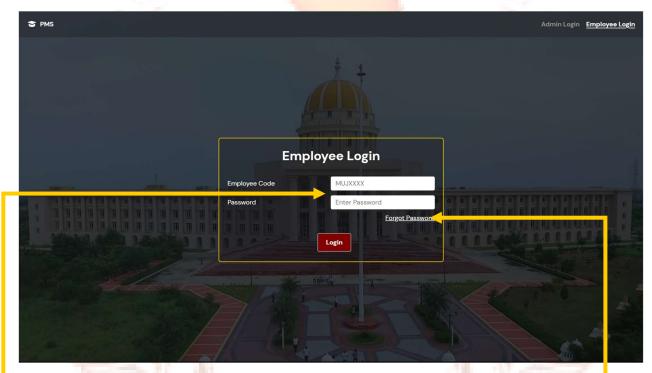


# User Manual for MUJ - PMS

# Step 1: Employee Login

Type the following URL of MUJ-PMS on any browser: - <u>http://pms.jaipur.manipal.edu</u>

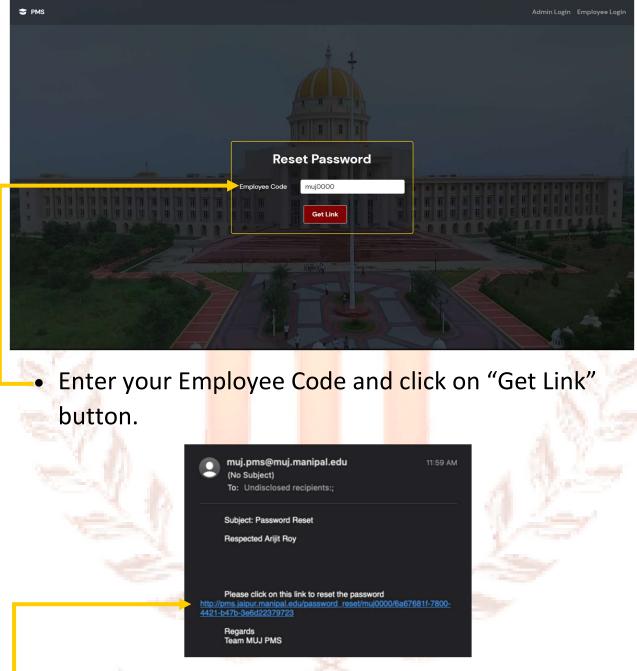
You will get below shown web page.



- Enter Employee Code (mujXXXX) and Password
- Click Forgot Password to Reset your Password
- Default Password is set to be your DOB in "DDMMYY" format

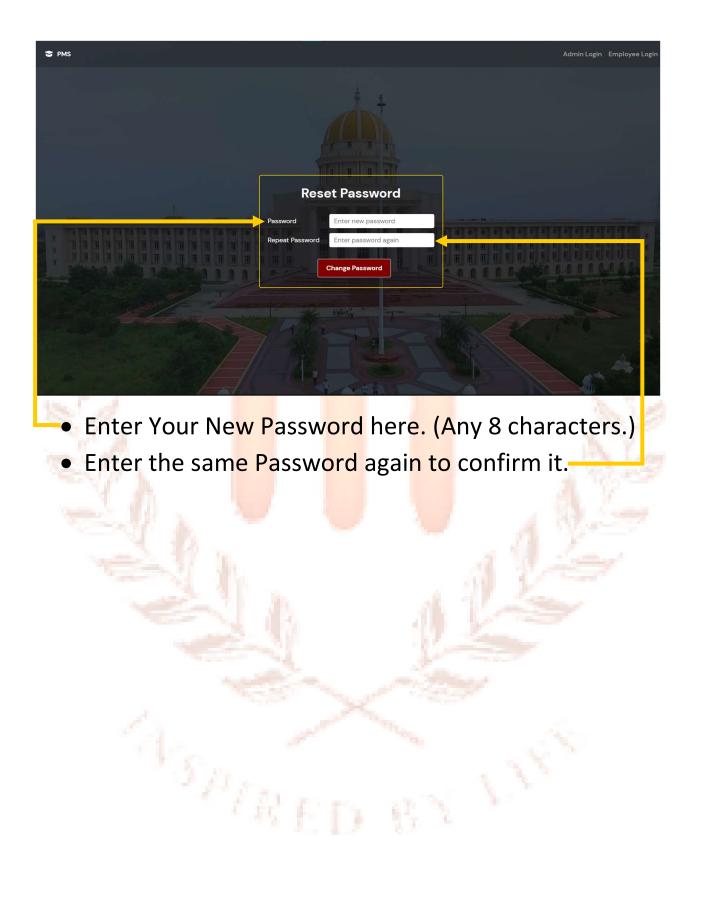
# Step 2: Reset Password

If you want to reset your password (highly recommended for first time user), click on "Reset Password" link on login webpage. The below shown webpage will open.



- You'll receive this mail on your "...@jaipur.manipal.edu" mailbox to reset your password. (Please check junk mailbox too.)
- Click on the given Link, it will redirect you to a new window (shown in Step 3) where you can reset your password.

# Step 3: Setting up New Password



# Step 4: Verify your Personal Details

This below shown page will open once you login into the system. Here you should verify your personal details.

S PMS			Admin Login Employee Login
		* +	
	Perso	nal Details	
	Employee Code	MUJ0527	
	Faculty Name Designation	Dr. Sandeep Chaurasia Professor	
	Department	Computer Science and Engine	
	Date of Joining Name of HOD (Appraiser)	02/07/15 Dr. Sandeep Chaurasia	
		Confirm	
<ul> <li>Verify your Pe</li> </ul>	rsonal De	<mark>eta</mark> ils an <mark>d C</mark> l	ick Confirm
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# Step 5: User with Additional Responsibility

After verification of Personal Details, you will be redirect to the below page. On this page, you have to select and provide the details as per additional responsibility.

Note: - Step 5, 6 and 7 must be done on the same page.

ASPER F

S PMS				Admin Login Employee Login
		÷ +		
	Additio	nal Details		
Group 1: Head of Departments (HoDs)	PhD Status	Awarded		
Group 2: Directors of the Schools Group 3: Directors of the	Additional Responsibility Held	Yes		
Directorates, Head of the Sections (Director Admissions, CoE, E-Cell, etc	Group	Group 1		
Group 4: Deputy Registrar, Assistant Registrar, Deputy CoE, Advisor	Nucleus Member	Select		
Central Library, Web Master, Deputy Directors and Assistant Directors of	Smile Member	Select		
all Directorates	FDP Member	Select		
	Back	Next		
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<ul> <li>Select PhD Sta</li> </ul>	atus			A

- Select Yes if Additional Responsibility is held by you.
  - Then select Group from given Dropdown

# Step 6: User with Nucleus Member

S PMS			Admin Log	in <u>Employee Login</u>
	Addition	al Details		
	Addition			
	PhD Status	Not Registered 🗸 🗸		
	Additional Responsibility Held	Select 🗸 🗸		
>	Nucleus Member	Yes		
	Details	Şelect 🗸		
	Smile Member	Directorate of Academics		
	FDP Member	Directorate of Research Directorate of Student Welfar		
and the second sec	Back	Directorate of Quality & Comp Directorate of International C		~
	- I - I	Directorate of Alumni Relation		1.5
		Directorate of Admissions		-
		Directorate of E-Cell		
	1 - Cer			

- Select Yes if You are a Nucleus Member otherwise No
- Select Directorate from given Dropdown

SPIRED

# Step 7: User with Smile participant & FDP attended

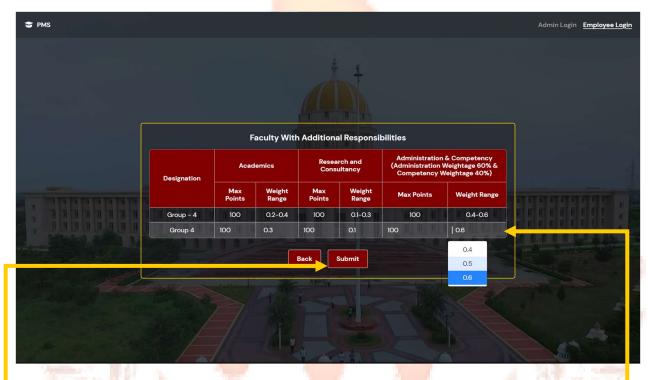
S PMS				Adı	nin Login Employee Login
	Addition	nal Details			
	Addition	ai Details			
	PhD Status	Select	[~~]		
	Additional Responsibility Held	Select	< 1		A
in the second	Nucleus Member	Select	( 🛩		
	Smile Member	Select			
	Batch Number	Batch Number of Smile M	1emb		
ALANA ANA ANA ANA ANA ANA ANA ANA ANA AN	FDP Member	Select			
the second s	Numbers	FDP attended			State State
-	Back	Next			
		RUT	No. of Concession, No. of Conces		
		ANT A	2		6

- Select Yes if you are Smile participant & Fill Batch Number
- Select Yes if you will attend FDP & Fill FDP count
- Click on Next after filling all the details.

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# Step 8: Weightage Selection

According to your prior filled details, the below shown page will open. On this page, you have to select the weightage for different performance criteria.



Note: - The total sum of the selected weightage should be exact 1.

- Select weightage from given Dropdown in each section (weightage from all sections must add up to 1.0)
- Click Submit after filling in all weightage.

ASPIRE

# Step 9: Final Summary Score

This page will be shown to you after filling complete details. On this page, you can take print out of your filled details.



Click Print to Print/Download Final Summary Score.



# Step 10: Final Summary Score Print

MANIPAL UNIVERSITY

## Performance Management System

#### **Employee Details**

Employee Code	MUJ0547
Faculty Name	Dr. Ashish Kumar
Designation	Associate Professor
Department	Computer Science and Engineering
Date of Joining	10/07/15
Name of HOD (Appraiser)	Dr. Sandeep Chaurasia

#### Additional Details

PhD Status	Awarded
Additional Responsibilities	Group 4
Nuclear Member Details	Directorate of Academics
Smile Member Details	N/A
FDP Details	N/A

#### **Final Summary Score**

NS PIRF

Sr. No.	Performance Criteria	Max Points	Agreed Weightage (A)	Total Points Earned (Self Rating) (B)	Total Points Earned (Appraisers Rating) (C)	Total Score (A + C)	Comment
1	Academics	100	0.3	-	-	-	-
2	Research	100	0.1	-	-	-	-
3	Administration	100	0.36	-	-	-	-
4	Competency	100	0.24		-	-	-
	raiser 1 (Director) ewer (Dean)						
	raisee raiser 1 (HoD)						
HRC	comments on Perfe	ormance					
	ormance Rating at	the time	of				
Year							

# Such PDF will be downloaded with all details from MUJ-PMS



# COMPETENCY DICTIONARY





# Junior Level: [Support Function Staff up-to Sr. Executive]

### Lead Self:

**Courage:** Tenacity to take and defend tough calls, continuously raising the bar, expressing, and standing up for what is fair, just, and right.

Beginner	Developing	Practitioner	Champion
Understands the need for courage.	Shares his/her views on issues, when	Is comfortable sharing his/her views	Understands the overall situation,
-	asked for. May not show the tenacity to defend his views across all situations, especially where he/she	right, considers alternate views, and is	<b>S</b> 1
	has limited view of it.		

Ownership & Learning Mindset: Demonstrates passion, resilience and drive to take ownership, looks for ways to succeed, and learn continuously

Beginner	Developing	Practitioner	Champion
Is not clear about what his/her	Takes accountability when asked for.	Takes initiative and does not shy away	Stays positive even when faced with
accountabilities are. At times hesitant	Takes effort to contribute to the tasks.	from ownership for tasks.	seemingly impossible stretch goals,
to take up tasks. Not aware of own	Takes effort to learn, when nudged.	Demonstrates awareness of their own	builds confidence in others, constantly
areas of development.		capabilities and shortcomings;	expanding limits with continuous
		constantly seeks to upgrade skills	learning
		constantly seeks to upgrade skills	learning

### Lead Team:

Collaborates to Win: Learns from others, relies on synergies, and works together for win-win solutions

Beginner	Developing	Practitioner	Champion
Mostly works in silos, does not share	Seeks helps when suggested, at times	Leverages stakeholders and colleagues	Leads team/is a hub to create
knowledge freely or asks for help. Is	works well with others. Takes efforts	across units to coordinate and	collaborative initiatives, is open and
unaware of how the organization	to learn and work with varied set of	brainstorms to build solutions. Shares	adaptable to build consensus within
works.	individuals / teams.	knowledge and expertise freely.	team to drive results. Leverages and
		Leverages technology for	champions technology for
		collaboration.	collaboration.



### Lead Results:

Gets Things Done: Promptly gets down to the job at hand, dynamic in adapting to changing requirements, focused, and has a bias for action.

Beginner	Developing	Practitioner	Champion
Often procrastinates, waits for	Takes efforts to get the job done. At	Quick to get to the job, adapts to the	Focussed on the outcomes, advocates
direction, needs to be nudged to get	times misses deadlines and gets stuck	conditions and changes, breaks down	planning as a critical step, analyses
things done.	in changing situations/conditions.	results into smaller, achievable goals.	pros and cons at pilot level and
		Eye is always on the meter.	prioritizes easy wins to begin with.
			Motivates others when things get
			tougher.



### Middle Level: [Asst. Professors, Asst. Director, Asst. Registrar and Asst. Manager to Manager for support functions]

### Lead Self:

**Courage:** Tenacity to take and defend tough calls, continuously raising the bar, expressing, and standing up for what is fair, just, and right.

Beginner	Developing	Practitioner	Champion
Understands the need for courage.	Shares his/her views on issues, when	Is comfortable sharing his/her views	Understands the overall situation,
However, has not shown much	asked for. May not show the tenacity	across situations, pushes for what is	takes calls based on logic, provides
evidence of the same. Usually remains	to defend his views across all	right, considers alternate views, and is	data and evidence, and is always seen
silent during conflicts.	situations, especially where he/she	flexible to change if required.	as fair and just.
	has limited view of it.		

**Ownership & Learning Mindset:** Demonstrates passion, resilience and drive to take ownership, looks for ways to succeed, and learn continuously

Beginner	Developing	Practitioner	Champion
Is not clear about what his/her	Takes accountability when asked for.	Takes initiative and does not shy away	Stays positive even when faced with
accountabilities are. At times hesitant	Takes effort to contribute to the tasks.	from ownership for tasks.	seemingly impossible stretch goals,
to take up tasks. Not aware of own	Takes effort to learn, when nudged.	Demonstrates awareness of their own	builds confidence in others, constantly
areas of development.		capabilities and shortcomings;	expanding limits with continuous
		constantly seeks to upgrade skills	learning.

#### Lead Team:

Drives Self and Others: Organized and responsible for results, by creating success metrics to review -creates accountability, and feedback

Beginner	Developing	Practitioner	Champion
Not sure about what constitutes	Broadly understands what needs to be	Determines what needs to be	Sets specific milestones to measure
success. Is unable set clear goals for	done by self and others. Tracks them	monitored for completion of task,	results with regular monitoring; clearly
self and others	on a need basis/when asked for; Not	seeks regular updates on performance	communicates performance
	consistent with taking/providing	and results. Drives self and others for	expectation to employees, takes
	feedback on results/progress.	desired results.	charge when required



**Collaborates to Win:** Learns from others, relies on synergies, and works together for win-win solutions

Beginner	Developing	Practitioner	Champion
Mostly works in silos, does not share	Seeks helps when suggested, at times	Leverages stakeholders and	Leads team/is a hub to create
knowledge freely or asks for help. Is	works well with others. Takes efforts	colleagues across units to coordinate	collaborative initiatives, is open and
unaware of how the organization	to learn and work with varied set of	and brainstorms to build solutions.	adaptable to build consensus within
works.	individuals / teams.	Shares knowledge and expertise	team to drive results. Leverages and
		freely. Leverages technology for	champions technology for
		collaboration.	collaboration.

### Lead Results:

**Focus on Flawless Execution:** Is obsessed towards flawless execution obsession to execute with focused attention, and smart problem solving for achieving accurate outcomes, and creating processes and guidelines for seamless action

Beginner	Developing	Practitioner	Champion
Makes mistakes often, not quick to	· · ·	Works as per processes, practical in	
learn from mistakes, and often not	outcomes. Tries to work as per		and focus on quality while achieving
aware of processes and guidelines.	processes and requires active	inefficiencies. Can work on established	goals. Provides suggestions for changes
	handholding.	processes without handholding.	and continuous improvement. Guides
			others in the process of achieving
			excellence

Gets Things Done: Promptly gets down to the job at hand, dynamic in adapting to changing requirements, focussed, and has a bias for action.

Beginner	Developing	Practitioner	Champion
	Takes efforts to get the job done. At times misses deadlines and gets stuck in changing situations/conditions.	conditions and changes, breaks down	



## Academic Competencies at Middle Level

Subject Expertise & Pedagogical Strategies: Persistence to keep abreast with subject matter advancements, strives for excellence, industry focus, socially relevant curriculum design, implements innovative ideas and latest technology for learning.

Beginner	Developing	Practitioner	Champion
Has limited depth in the subject.	Takes efforts to learn about subject matter experts – often needs push from seniors.	Learns new technologies/subjects for achieving excellence	Shares best practices and innovation in the technology/advances in the subject.
Sticks to traditional methods – needs guidance	Tries to use new methods of learning.	Uses unconventional methods of learning	Designs and implements new/unconventional methods of learning.
Use of technology is limited	Takes effort to use the available ideas / tools at workplace.	Stays abreast with the technical changes and upgrades self with latest tools and techniques	Masters' technology and develops training modules, implements techniques and strategies for capability enhancement and learning
Does not take efforts to implement innovative ideas / technology tools	Explores the needs of the industry and tries to incorporate in the learning delivery.	Has a good view of the changing needs and continuously upgrades the learning content and delivery.	Shares best practices and outside in perspectives on learning content and delivery (industry, learner)
Has limited view on industry needs and learner needs.			



Active Research: Ability to have in-depth understanding of a domain and conducts research on an ongoing basis to ensure its impact in academic activity.

Beginner	Developing	Practitioner	Champion
Does not take effort to look out of	Has started investing in a few	Developing feasible ideas into	Identifies notable and actionable ideas
relevant research problems.	problems that would yield research	actionable plans with notable research	that will help to stay ahead of the
	outcomes.	output, professionally organizes information.	domain. Can guide others in the process.
Does not take effort to develop and	Initiated network with a few	Identifies and Establishes/strengthens	Have established networks both
collaborate in an area of expertise for	professional colleagues within and	new networks both nationally and	nationally and internationally and can
research.	outside the organization for research.	internationally for enhancing research outcomes.	guide others in the process.
Has limited knowledge and skills of use	Puts in efforts to learn research tools	Has good application knowledge of	Has good application knowledge of
of research tools and techniques	and techniques.	relevant research tools and	relevant research tools and
		techniques. Applies them in research projects.	techniques. Coach and mentor others.
Does not plan for optimizing research	Have a clear plan of action to enhance	Perform outside the comfort zone;	Leverages the established network
outputs	research outputs.	Assesses and estimates best ways to	and simultaneously manages multiple
		reach at an optimized research output.	projects that enables enhanced research outputs.



# Senior Level I: [Professors/Associate Professors/HODs in academics/Dy. Directors/Dy. Registrar and Sr. Manager for support Functions]

#### Lead Self:

**Courage:** Tenacity to take and defend tough calls, continuously raising the bar, expressing, and standing up for what is fair, just, and right.

Beginner	Developing	Practitioner	Champion
Understands the need for courage.	Shares his/her views on issues, when	Is comfortable sharing his/her views	Understands the overall situation,
However, has not shown much	asked for. May not show the tenacity	across situations, pushes for what is	takes calls based on logic, provides
evidence of the same. Usually remains	to defend his views across all	right, considers alternate views, and is	data and evidence, and is always seen
silent during conflicts.	situations, especially where he/she	flexible to change if required.	as fair and just.
	has limited view of it.		

Nimble & Entrepreneurial: The acumen to promote, expand, innovate that sustain and flourish, with the agility to learn or unlearn adopting different approaches to stay ahead

Beginner	Developing	Practitioner	Champion
Believes in status – quo. Reluctant to	Tries to do new ways of working.	Analyses pros and cons; decisive in	Leads in rapid solutioning using
experiment.	Willing to take up new ideas / adopt	implementing new solutions;	different approaches, processes, and
	new ways, when nudged.	adaptable to unanticipated situations	frameworks. Is seen as an early adopter / innovator

Nurturing and Contributing: Demonstrates empathy, respect, and trust in others' capabilities, aiming for meritocracy, and overall alignment to a Manipal values

Beginner	Developing	Practitioner	Champion
	Takes effort to reach out to others. Shows empathy, respect, meritocracy,		
beyond own task/job to align to overall Manipal priorities	and trust other's capability, however not consistently.	Overall aligns self with the Manipal values.	respect, trusting in other capabilities, and working in a meritocratic manner. Is seen as a role model by others.



#### Lead Team:

**Inspire and Energize:** Cheerful, optimistic, and personable, leading by example towards a clear vision, by creating an energizing and positive environment for teams

Beginner	Developing	Practitioner	Champion
Does not see the positive part of the	Understands and empathizes to	Consistently celebrates team	Clearly communicates the vision and
situations. Is often aloof from the team	others' context; However, needs to be	achievement and rewards positive	the need for a shared action plan,
and not easily reachable. Does not take	more consistent in creating a positive	behaviours among the team. Is	steers others towards a common
effort to build relationships with the	and energizing environment	optimistic and cheerful in general.	destination. Builds positivity and
team.			optimism even in difficult situations.

Collaborates to Win: Learns from others, relies on synergies, and works together for win-win solutions

Beginner	Developing	Practitioner	Champion
Mostly works in silos, does not share	Seeks helps when suggested, at times	Leverages stakeholders and	Leads team/is a hub to create
knowledge freely or asks for help. Is	works well with others. Takes efforts	colleagues across units to coordinate	collaborative initiatives, is open and
unaware of how the organization	to learn and work with varied set of	and brainstorms to build solutions.	adaptable to build consensus within
works.	individuals / teams.	Shares knowledge and expertise	team to drive results. Leverages and
		freely. Leverages technology for	champions technology for
		collaboration.	collaboration.

### Lead Results:

**Focus on Flawless Execution:** Is obsessed towards flawless execution obsession to execute with focused attention, and smart problem solving for achieving accurate outcomes, and creating processes and guidelines for seamless action

Beginner	Developing	Practitioner	Champion
Makes mistakes often, not quick to	Knows the desired quality of	Works as per processes, practical in	Displays smart problem solving, clarity
learn from mistakes, and often not	outcomes. Tries to work as per	execution and eliminates	and focus on quality while achieving
aware of processes and guidelines.	processes and requires active	inefficiencies. Can work on established	goals. Provides suggestions for changes
	handholding.	processes without handholding.	and continuous improvement. Guides
			others in the process of achieving
			excellence



Ensures Quality Standards at Scale: Meticulous in setting benchmarks, standardizing best practices, and leveraging expertise to maximise quality delivery

Beginner	Developing	Practitioner	Champion
Partial knowledge of University's	Tries to align to the University's	Streamlines procedures based on	Advocates excellence, creates work
standards. Often needs to rework due	excellence and quality standards.	stakeholder feedback and works to	groups to develop solutions while
to poor quality and generally does not	Needs guidance and support to have	create incremental value. Is aligned to	ensuring quality. Has an outside-in
focus on setting high standards.	high standards of quality delivery for	the university's excellence and quality	view of the best practices and aims to
	self/team.	standards.	be the best.

### Lead Future:

Think Different – Pioneering & Innovative: Innovative, and pioneering, thriving despite ambiguity, anticipating customer needs to daringly think different and outside the box

Beginner	Developing	Practitioner	Champion
Does not seek out what the customers	Partially recognizes the need to be	Anticipates emerging customer needs	Innovates in existing areas, and aspires
changing needs are. Satisfied with the	innovative. Provides suggestions to be	and creates new models that can serve	to serve new customer segments,
current offerings and practices. Finds it	innovative – however limited. Seeks	these needs. Consistently comes up	driving scale and profitability. Brings
challenging to work in ambiguity.	support and needs comfort while	with innovative ideas. Is comfortable	better solutions while working in
	working in ambiguity.	working in ambiguity	ambiguity. Aligns ideas directly to
			institutional/business needs.

Decides & Commits to Tough Calls: Decisive and zealous - ready to take time-bound and tough decisions, prudent in analysing pros and call, and quick to execute once committed

Beginner	Developing	Practitioner	Champion
Does not take timely decisions. Refrain	At times finds it difficult to take tough	Makes decision with speed and a	Backs decision with logic, commitment
from taking tough decisions. Needs	calls. Needs reassurance and support	sense of urgency based on reasonable	and action. Gets the decisions
reassurance most of the time. Changes	from others in deciding. Does not	analysis & intuition. Works towards	executed as committed. Can guide
stance easily.	follow through on	execution of the decisions.	others to take difficult decisions.
	commitments/decisions.		



## Academic Competencies at Senior Level I

Subject Expertise & Pedagogical Strategies: Persistence to keep abreast with subject matter advancements, strives for excellence, industry focus, socially relevant curriculum design, implements innovative ideas and latest technology for learning.

Beginner	Developing	Practitioner	Champion
Has limited depth in the subject.	Takes efforts to learn about subject matter experts – often needs push from seniors.	Learns new technologies/subjects for achieving excellence	Shares best practices and innovation in the technology/advances in the subject.
Sticks to traditional methods – needs guidance	Tries to use new methods of learning.	Uses unconventional methods of learning	Designs and implements new/unconventional methods of learning.
Use of technology is limited	Takes effort to use the available ideas / tools at workplace.	Stays abreast with the technical changes and upgrades self with latest tools and techniques	
Does not take efforts to implement innovative ideas / technology tools	Explores the needs of the industry and tries to incorporate in the learning delivery.	Has a good view of the changing needs and continuously upgrades the learning content and delivery.	Shares best practices and outside in perspectives on learning content and delivery (industry, learner)
Has limited view on industry needs and learner needs.			



Active Research: Ability to have in-depth understanding of a domain and conducts research on an ongoing basis to ensure its impact in academic activity.

Beginner	Developing	Practitioner	Champion
Does not take effort to look out of	Has started investing in a few	Developing feasible ideas into	Identifies notable and actionable ideas
relevant research problems.	problems that would yield research outcomes.	actionable plans with notable research output, professionally organizes information.	that will help to stay ahead of the domain. Can guide others in the process.
Does not take effort to develop and collaborate in an area of expertise for research.	Initiated network with a few professional colleagues within and outside the organization for research.	Identifies and Establishes/strengthens new networks both nationally and internationally for enhancing research outcomes.	Have established networks both nationally and internationally and can guide others in the process.
Has limited knowledge and skills of use of research tools and techniques	Puts in efforts to learn research tools and techniques.	Has good application knowledge of relevant research tools and techniques. Applies them in research projects.	Has good application knowledge of relevant research tools and techniques. Coach and mentor others.
Does not plan for optimizing research outputs	Have a clear plan of action to enhance research outputs.	Perform outside the comfort zone; Assesses and estimates best ways to reach at an optimized research output.	Leverages the established network and simultaneously manages multiple projects that enables enhanced research outputs.



**Engaging Learning Environment:** Establish, Expand, Encourage, and Engage everyone to attain a world class learning environment.

Beginner	Developing	Practitioner	Champion
Routine approach towards learning.	Leverages learning methodologies	Institutionalizes student engagement	Designs mechanisms that enables
	that enable student learning beyond	beyond the classroom (Industry visits,	student engagement beyond the
	the classroom.	external mentoring, projects etc)	classroom (Industry visits, external mentoring, projects etc)
Set pattern is followed with little scope	Leverages new methods of learning	Engages multiple new methods of	Benchmarks nationally and
for experimentation.	that are practiced in the organization.	learning and design curriculum based on the same.	internationally and shares insights on best practices in learning and curriculum development
No focus on individualization	Initiates feedback mechanisms from	Actively seeks feedback from learners	Integrates feedback from multiple
/customization to the learning needs of the students.	learners and makes amendments to the design and delivery.	and various stakeholders (including alumni, industry forums) and links them to design and delivery.	sources and coaches/mentors others in enhancing learning experience.
Limited view about the innovation in the learner engagement.	Leverages learner engagement mechanisms/practices within and outside of MUJ – although in a limited manner.	Leverages learner engagement mechanisms/practices within and outside of MUJ – although in a limited manner.	Designs/shares insights on designing learner engagement mechanisms leveraging practices in MUJ and outside of MUJ



## Senior Level II: [Deans, Directors, Registrar and Support Function heads]

### Lead Self:

Nimble & Entrepreneurial: Demonstrates the acumen to promote, expand, innovate ideas that sustain and flourish, with the agility to learn or unlearn, adopting different approaches to stay ahead

Beginner	Developing	Practitioner	Champion
Believes in status – quo. Reluctant to	Tries to do new ways of working.	Analyses pros and cons; decisive in	Leads in rapid solutioning using
experiment.	Willing to take up new ideas / adopt	implementing new solutions;	different approaches, processes, and
	new ways, when nudged.	adaptable to unanticipated situations	frameworks. Is seen as an early adopter / innovator

Nurturing and Contributing: Demonstrates empathy, respect, and trust in others' capabilities, aiming for meritocracy, and overall alignment to a Manipal values

Beginner	Developing	Practitioner	Champion
Comes across as self-centred. Seldom	Takes effort to reach out to others.	Instils trust in people and takes the	Proactively reaches out to people and
reaches out to people. Does not look	Shows empathy, respect,	team along the growth journey.	builds nurturing relationship through
beyond own task/job to align to	meritocracy, and trust other's	Overall aligns self with the Manipal	respect, trusting in other capabilities,
overall Manipal priorities	capability, however not consistently.	values.	and working in a meritocratic
			manner. Is seen as a role model by
			others.

#### Lead Team:

**Inspires and Energizes:** Cheerful, optimistic, and personable, leading by example towards a clear vision, by creating an energizing and positive environment for teams

Beginner	Developing	Practitioner	Champion
Does not see the positive part of the	Understands and empathizes to	Consistently celebrates team	Clearly communicates the vision and
situations. Is often aloof from the	others' context; However, needs to	achievement and rewards positive	the need for a shared action plan,
team and not easily reachable. Does	be more consistent in creating a	behaviours among the team. Is	steers others towards a common
not take effort to build relationships	positive and energizing environment	optimistic and cheerful in general.	destination. Builds positivity and
with the team.			optimism even in difficult situations.



**Develops & Empowers People; Creates Talent Pipeline:** Believes in talent, scouting for the best and delegating to empower others, and coaches' people to be future leaders

Beginner	Developing	Practitioner	Champion
Has less focus on people	Is reactive to the talent management	Ensures team is well staffed. Manages	Builds network in the industry to
development. Does not leverage	needs. Does not have a core plan/	talent risks. Works towards engaging,	attract talent; Builds systems to
people development practices/	support actively talent development	empowering, and building future	develop talent for the future. Builds
initiatives. Does not recognize	efforts. Needs to develop delegating	leaders. Supports building	innovation in developing talent.
strengths and development needs of	and empowerment skills.	development plans.	Personally coaches others.
the team.			

#### Lead Stakeholders:

Manage perceptions and Influence Brand Image: Ambassador of the Manipal brand, intellectual and learned, establishing a professional external network to influence matters of policy and priorities

Beginner	Developing	Practitioner	Champion
Has limited network and professional	Is in the process of developing	Ensures that all decisions are within	Enhances external perception of
influence. Does not reach out to	external network. Believes and	the purview of the values and	Manipal; Leverages relationships in
external network. Has limited	evangelizes Manipal brand.	business philosophy of Manipal;	the market/professional
alignment to the overall Manipal		Gathers market intelligence/leverage	bodies/government to influence
Brand.		network to help the University.	matters of policy/priorities



### Lead Results:

**Translates Strategy into Action:** The capability to envision a detailed roadmap to deliverables, managing resources and stakeholders aligning them towards a clear strategy

Beginner	Developer	Practitioner	Champion
Works on the key actions as per the	Focussed on some areas of actions as	Develops, justifies, and manages	Decodes complex issues while
strategy in disintegrated part. Unable	per the strategy. Partially aligns and	resources, focusing on execution,	devising strategies, and a plan of
to manage resources needed.	builds consensus with stakeholders.	aligns key stakeholders.	action, in line with industry best
Justifies/ provides excuses rather	Needs support to manage resources.	Designs approaches to implement	practices. Aligns the key stakeholders
than finding solutions to timely		changes, oversees quality and	/ resources to ensure timely
execution of strategic plans.		customer delight	initiatives as per the planned strategy.

### Lead Future:

Thinks Strategic & Long Term: Strategic, far sighted - connecting opportunities and evaluating risk in new ventures to protect and expand market share

Beginner	Developing	Practitioner	Champion
Sees challenges more than	Protects current market leadership	Understands/leverages the	Identifies new growth engines in line
opportunities in the external	through various initiatives.	opportunities & risk implications of	with the external environment to
environment.	Able to understand the overall	the external environment.	build diverse portfolio for the long
Mostly operational focussed and does	external environment, partially.	Develops ideas & plans in line with the	term & develops clear
not share insights / initiatives that	Trying to move from being short term	overall vision of the group-leverages	implementation strategies. Takes
impacts beyond short term.	oriented to being long term.	existing group strengths and fills gaps	calculated risks for long term success.
		in capabilities.	



Thinks Different – Pioneering & Innovative: Innovative, and pioneering, thriving despite ambiguity, anticipating customer needs to daringly think different and outside the box

Beginner	Developing	Practitioner	Champion
	Partially recognizes need to be		<b>C</b>
customers changing needs are.		and creates new models that can	•
8	be innovative – however limited. Seeks support and needs comfort	,	segments, driving scale and profitability. Brings better solutions
work in ambiguity.	while working in ambiguity.	comfortable working in ambiguity	while working in ambiguity. Aligns
			ideas directly to
			institutional/business needs.

Think Big - Think Scale: Composed when dealing with complicated scale-ups, prioritizing such opportunities, with process checks

Beginner	Developing	Practitioner	Champion
Is comfortable operating in small	Leverages growth opportunities in a	Leverages opportunities for growth	Motivates and encourages others to
scale. Does not seek possibilities of	limited manner.	and scale up and sets up systems for	think big and suggests opportunities
growth. Incremental in approach.	Adopts systems and processes for	the same.	for growth.
Does not seek solutions to enable	scale up when nudged.	Identifies opportunities of scale,	Proactively understands and
systems and processes for scale up.		creates systems to redistribute work,	encourage leveraging of processes
		streamlines functions leveraging	and practices for scale up.
		technology. Works towards set	Aims and encourages others to
		standards of excellence – both	achieve excellence by benchmarking
		nationally and internationally	with the best.



**Decides & Commits to Tough Calls:** Decisive and zealous - ready to take time-bound and tough decisions, prudent in analysing pros and call, and quick to execute once committed

Beginner	Developing	Practitioner	Champion
Does not take timely decisions.	At times finds it difficult to take tough	Makes decision with speed and a	Backs decision with logic,
Refrain from taking tough decisions.	calls. Needs reassurance and support	sense of urgency based on reasonable	commitment and action. Gets the
Needs reassurance most of the time.	from others in deciding. Does not	analysis & intuition. Works towards	decisions executed as committed.
Changes stance easily.	follow through on	execution of the decisions.	Can guide others to take difficult
	commitments/decisions.		decisions.



# **Academic Linked Competencies**

Engaging Learning Environment: Establish, Expand, Encourage, and Engage everyone to attain a world class learning environment

Beginner	Developing	Practitioner	Champion
Routine approach towards learning.	Leverages learning methodologies that enable student learning beyond the classroom.	Institutionalizes student engagement beyond the classroom (Industry visits, external mentoring, projects etc)	Designs mechanisms that enables student engagement beyond the classroom (Industry visits, external mentoring, projects etc)
Set pattern is followed with little scope for experimentation.	Leverages new methods of learning that are practiced in the organization.	Engages multiple new methods of learning and design curriculum based on the same.	Benchmarks nationally and internationally and shares insights on best practices in learning and curriculum development
No focus on individualization /customization to the learning needs of the students.	Initiates feedback mechanisms from learners and makes amendments to the design and delivery.	Actively seeks feedback from learners and various stakeholders (including alumni, industry forums) and links them to design and delivery.	Integrates feedback from multiple sources and coaches/mentors others in enhancing learning experience.
Limited view about the innovation in the learner engagement.	Leverages learner engagement mechanisms/practices within and outside of MUJ – although in a limited manner.	Leverages learner engagement mechanisms/practices within and outside of MUJ – although in a limited manner.	Designs/shares insights on designing learner engagement mechanisms leveraging practices in MUJ and outside of MUJ



Active Research: Ability to have in-depth understanding of a domain and conducts research on an ongoing basis to ensure its impact in academic activity.

Beginner	Developing	Practitioner	Champion
Does not take effort to look out of	Has started investing in a few	Developing feasible ideas into	Identifies notable and actionable ideas
relevant research problems.	problems that would yield research outcomes.	actionable plans with notable research output, professionally organizes information.	that will help to stay ahead of the domain. Can guide others in the process.
Does not take effort to develop and collaborate in an area of expertise for research.	Initiated network with a few professional colleagues within and outside the organization for research.	Identifies and Establishes/strengthens new networks both nationally and internationally for enhancing research outcomes.	Have established networks both nationally and internationally and can guide others in the process.
Has limited knowledge and skills of use of research tools and techniques	Puts in efforts to learn research tools and techniques.	Has good application knowledge of relevant research tools and techniques. Applies them in research projects.	Has good application knowledge of relevant research tools and techniques. Coach and mentor others.
Does not plan for optimizing research outputs	Have a clear plan of action to enhance research outputs.	Perform outside the comfort zone; Assesses and estimates best ways to reach at an optimized research output.	Leverages the established network and simultaneously manages multiple projects that enables enhanced research outputs.